



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,954	11/23/2001	Geoffrey B. Rhoads	P0477

23735  
DIGIMARC CORPORATION  
19801 SW 72ND AVENUE  
SUITE 100  
TUALATIN, OR 97062



CONFIRMATION NO. 4464

## FORMALITIES LETTER



\*OC000000007388196\*

Date Mailed: 01/30/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$1122.
  - \$450 for 25 total claims over 20.
  - \$672 for 8 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 1992.

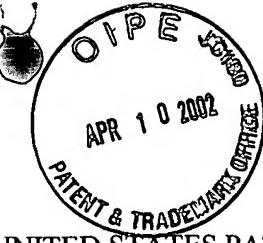
*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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06/11/2002 YPULITE1 00000073 501071  
01 FC:101 740.00 CH  
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04 FC:105 130.00 CH  
01 FC:101 740.00 CH  
02 FC:102 672.00 CH  
03 FC:103 450.00 CH  
04 FC:105 130.00 CH



*Rele-Off #3*  
PATENT  
Attorney's Matter No. P0477

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Rhoads et al.

Application No.: 10/002,954

Filed: October 23, 2001

For: USING EMBEDDED IDENTIFIERS WITH IMAGES

Examiner:

Date: March 28, 2002

Art Unit: 2672 Conf. No.: 4464

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on March 28, 2002, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

*St SL*  
\_\_\_\_\_  
Steven W. Stewart  
Attorney for Applicant

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- Copy of Notice to File Missing Parts of Application--Filing Date Granted dated January 30, 2002.
- Combined Declaration and Power of Attorney
- Filing Fee of \$1,862.00.
- Surcharge for Late Filing of Fee or Oath or Declaration: \$130.00
- Request for Corrected Filing Receipt.
- Please charge \$1,992.00 and any additional fees which may be required in connection with filing these Missing Parts and any further extension of time fee, or credit any overpayment, to Deposit Account No. 50-1071.

Respectfully submitted,

Date: March 28, 2002

DIGIMARC CORPORATION



23735

PATENT TRADEMARK OFFICE

Telephone: 503-885-9699  
Fax: 503-885-9880

By *St SL* \_\_\_\_\_

Steven W. Stewart  
Registration No. 45,133

SWS:Imp 3/28/02



PATENT  
Attorney's Matter No. P0477

# 47

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Rhoads et al.

Application No.: 10/002,954

Filed: October 23, 2001

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SL SL

Steven W. Stewart  
Attorney for Applicant

REQUEST FOR CORRECTED FILING RECEIPT

OFFICE OF INITIAL PATENT EXAMINATION'S  
CUSTOMER SERVICE CENTER  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Please provide a corrected filing receipt in the following respects:

Please correct the filing date of "11/23/2001" to be -10/23/2001--.

Enclosed are copies of the incorrect filing receipt with the changes noted thereon, transmittal letter, postcard showing deposit date of October 23, 2001, and copy of the Express Mail receipt showing the date deposited in the United States Post Office as October 23, 2001, all supporting the requested changes.

Date: March 28, 2002

Respectfully submitted,



23735  
PATENT TRADEMARK OFFICE

DIGIMARC CORPORATION

By \_\_\_\_\_

SL SL

Steven W. Stewart  
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Phone: 503-885-9699  
FAX: 503-885-9880



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/002,954	11/23/2001 10/23/2001	2672	0.00	P0477	3	45	11

23735  
 DIGIMARC CORPORATION  
 19801 SW 72ND AVENUE  
 SUITE 100  
 TUALATIN, OR 97062



CONFIRMATION NO. 4464

## FILING RECEIPT



\*OC000000007388195\*

Date Mailed: 01/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Geoffrey B. Rhoads, West Linn, OR;  
 Neil E. Lofgren, White Salmon, WA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/800,093 03/05/2001  
 AND CLAIMS BENEFIT OF 60/284,163 04/16/2001  
 AND CLAIMS BENEFIT OF 60/284,776 04/18/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 01/29/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Using embedded identifiers with images

**Preliminary Class**



Receipt is hereby acknowledged by the U.S. Patent and Trademark Office of the following: Patent Application (29 pages of specification, 6 pages of claims and an abstract), 3 pages of drawings, Application Data Sheet, Combined Declaration and Power of Attorney (unsigned), and Transmittal Letter

Jc979 U.S. PTO  
10/002954



10/23/01

Title: USING EMBEDDED IDENTIFIERS WITH IMAGES

Inventor: Rhoads et al.  
Express Mail EL818267655US  
Deposited October 23, 2001  
Digimarc Corporation

SWS:Imp P0477

JC979 U.S. PTO  
10/002954



11/23/01



## POST OFFICE TO ADDRESSEE



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FROM: (PLEASE PRINT) <i>S. Stewart P0477</i>	PHONE <i>(310) 547-2574</i>	Federal Agency Acct. No. or Postal Service Acct. No.
TO: (PLEASE PRINT) <i>Box Patent Application</i>	PHONE <i>(310) 547-2574</i>	



SWS:Imp



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Date of Deposit: October 23, 2001

PATENT

Attorney's Ref. No. P0477

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION  
TO THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor(s): Rhoads et al.

For: USING EMBEDDED IDENTIFIERS WITH IMAGES

Enclosed are:

- 29 pages of specification, 6 pages of claims, an abstract, Application Data Sheet 2 pages, and a Combined Declaration and Power of Attorney (unsigned).  
 3 sheet(s) of drawings.  
 An assignment of the invention to: \_\_\_\_\_ and a Recordation Cover Sheet.  
 A certified copy of a \_\_\_\_\_ application.  
 Associate Power of Attorney.  
 Information Disclosure Statement.  
 Form PTO-1449 and copies of documents listed thereon.  
 A copy of a petition for extension of time, which is a separate paper being filed in a prior application.

For	Claims filed	FILING FEE		Number Allotted	Number Extra	Rate	Basic Fee
Total Claims	45	20	=	25		\$18.00	\$740.00
Independent Claims	11	3	=	8		\$84.00	\$672.00
TOTAL FILING FEE			=				\$1,862.00

- Please return the enclosed postcard to confirm that the items listed above have been received.

**Customer Number 23735**

Date: October 23, 2001

Respectfully submitted,



23735

PATENT TRADEMARK OFFICE

DIGIMARC CORPORATION

By



Steven W. Stewart

Registration No. 45,133

Phone: 503-885-9699  
FAX: 503-885-9880

EL818267655US